Prevention of Depressive Symptoms and Promotion of Well-being in Adolescents:
A Randomized Controlled Trial of the Smile Program

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Abstract: This study addressed the development and evaluation of the Smile Program whose main objective was the prevention of depression and the promotion of well-being in adolescents. The program is based on interventions that have been shown to be efficacious (a cognitive-behavioral approach). Participants were 89 adolescents (mean age = 13.88 years; SD = 0.95) recruited from a sample of 1212 students from seven schools. Results showed a significant reduction in self-reported depressive symptoms in the intervention group (β = 51) as compared to youth in the control group (β = 38). Based on parents’ report (α =56), youth in the intervention group had significantly better self-esteem at post-test as compared to youth in the control group. At four months post intervention, youth in the intervention group had higher psychological well-being than those in the control group; at the 8-month follow-up, youth in the intervention condition reported better family self-concept.

Keywords: prevention; depression; adolescents; well-being.

Introduction

According to the World Health Organization, in the year 2000, depression was the fourth leading cause of disability, and by 2020, depression will be second only to ischemic heart disease (see http://www.who.int/topics/depression/en/). Moreover, a study conducted in six European countries revealed that Spain had the most people with anxiety and depression (King et al., 2008). The point prevalence of major depression is 0.5% for children under age 6, 2.5% for children ages 6 to 12, and 6.5% for teens 13 years and above (Méndez, Olivares, & Ros, 2001). In a community sample of 1,705 youth, ages 10 to 18, 9.2% scored above the clinical cutoff on the Children’s Depression Inventory (Figuera et al., 2010). In addition, the rates of depression have been found to increase during the adolescent years (Hankin, Abramson, Moffitt, Silva, & McGee, 1998; Meltzer, Gatward, Goodman, & Ford, 2000). Depression also causes considerable expense to families and society. The total cost in Europe is approximately 118 billion euros, 61% of which is due to the indirect costs of sick leave and decreased productivity. Therefore, given its prevalence, serious risk for suicide (Bustamante & Florenzano, 2013), and impact on family finances, the prevention of depression in youth is of great importance not only to the developing child and family, but also to the broader health system and society (Ferreira et al., 2012). In the past two decades, there has been increasing interest in testing the effectiveness of interventions to prevent depression in youth (e.g., Merry et al., 2011), although there has been an absence of such research in Spanish samples (Sánchez-Hernández, Méndez, & Garber, 2014). Thus, there is a real need for more methodologically sound studies of preventive interventions that benefit personal, family, and social well-being in Spanish youth. Meta-analytic reviews of studies testing the efficacy of depression prevention programs in children and adolescents have concluded that there is sufficient evidence that some programs can prevent the onset of depressive disorders, particularly those with targeted as compared to universal samples (Horowitz & Garber, 2006; Merry et al., 2011; Sánchez-Hernández et al., 2014; Stice et al., 2009). Huppert (2009) suggested that even a small change in the mean number of depressive symptoms can improve an individual’s well-being and reduce future risk of the disorder. For example, one of the main objectives of the Penn Resiliency Program for youth (Gillham, Brunwasser, & Freres, 2008) has been the promotion of optimism as a protective factor for depression. In addition, studies have shown that interventions to prevent depression may save money over time (Mihalopoulos et al., 2011). Thus, efforts to develop more efficient and cost-effective interventions for preventing depression are an important public health goal.

The current article presents the development and evaluation of the Smile Program (Sánchez-Hernández & Méndez, 2009), whose main goals were to prevent depressive symp-
toms and promote psychological well-being in young adolescents. The *Smile Program* combined information from basic research on risk and protective factors for depression with various prevention programs that have been found to be effective in children and adolescents (Garber, 2006; Sánchez-Hernández, Méndez, & Garber, 2014; Southwick, Vythilingam, & Charney, 2005). The *Smile Program* also integrated psychological techniques from cognitive-behavioral techniques (e.g., cognitive restructuring, mood monitoring, optimism, emotional education). The purpose of including optimism was to complement the emphasis on psychopathology by focusing on strengths and skills that protect against psychological distress (i.e., prevention) and foster well-being (i.e., health promotion). Seligman and Csikszentmihalyi (2000) suggested that the most progress in prevention comes from targeting the systematic construction of competence. For example, one of the main objectives of the Penn Resilience Program for youth (PRP; Gillham, Brunwasser, & Freris, 2008) has been the promotion of optimism as a protective factor for depression.

In addition, a new module was created that aimed to promote motivation for personal growth and an adaptation of behavioral activation. Methodological strengths of the current study included randomization of participants to either an experimental intervention or a control condition, evaluation of positive outcomes as well as depressive symptoms, conducting assessments at multiple time points including 8 and 12 months (Shore, Toumbourou, Lewis and Kremer, 2017) post-randomization, and the use of new and innovative techniques in the intervention.

In summary, the main objective of the current study was to evaluate the effectiveness of the *Smile Program* by measuring changes over time in multiple dependent variables across several time points.

**Method**

**Participants**

Participants were 89 students in grades 7 (50.6%) and 8 (49.4%); the mean age was 13.88 years (SD = 0.95). The sample (51.7% female) was recruited from seven schools (80.9% public and 19.1% concerted/private) and using convenience sample. Parents (74.5% mothers; mean age = 43.31, SD = 5.99) reported about their children’s depressive symptoms (response rate: 66). The socio-economic status (SES) of the families was middle class, 39.1 points (range 8-66), according to the two primary factors of the new index of Hollingshead (2011): 5.0 points in occupation (range 1-9) and 4.4 points in education (range 1-7).

**Instruments**

**Primary Outcome Measures**

The *Children’s Depression Inventory* (CDI; Kovacs, 1992)–Spanish adaptation (Del Barrio & Carrasco, 2004) – was used to assess depressive symptoms in youth. The CDI is a widely used self-report measure that consists of 27 items with three response options. The CDI can be grouped into two subscales: dysphoria (16 items), and negative self-esteem (11 items). In a community sample of 1,705 participants, ages 10-18 years-old, internal consistency Cronbach’s alpha was found to be 0.82 on the full CDI (Figueras et al., 2010).

To obtain a multi-informant assessment of adolescents’ depressive symptoms, parents completed the parent version of the Children’s Depression Inventory - Short form (CDI-S; Kovacs, 1992; Spanish adaptation: Del Barrio et al., 2002) about their child. The CDI-S consists of 10 items. The CDI-S also can be grouped into two subscales: dysphoria, and negative self-esteem. Cronbach’s alpha of the Spanish adaptation was 0.71.

**Secondary Outcome Measures**

*Self-Concept Scale Form 5* (AF-5; Garcia & Musitu, 2001) was developed in Spain and is not a translation and/or adaptation of an existing measure in English (Tomas & Oliver, 2004). The AF-5 assesses five dimensions of self-concept (academic/work, social, emotional, family and physical). The measure consists of 30 items evaluated on a scale with 99 response options from 1 to 99. In a sample of 6,483 participants, ages 10 to 62 years, Garcia and Musitu (2001) found a Cronbach's alpha on the total score of 0.81.

*Warwick-Edinburgh Mental Well-being Scale (WEMWBS)*; Tennant et al., 2007) measures positive mental health (Cooke et al., 2011). The WEMWBS has 14 positively worded items with a 5-point Likert scale for each item (from 1 = ‘none of the time’ to 5 = ‘all of the time’). WEMWBS covers most aspects of mental well-being (Tennant et al., 2007) including both hedonic (positive affect: optimism, cheerfulness, and relaxation) and eudaimonic (autonomy, self-acceptance, environmental mastery, positive relations with others, personal growth, and purpose in life) perspectives. Tennant et al. (2007) reported a Cronbach’s alpha of 0.89 (student sample) and 0.91 (population sample). The distribution of the WEMWBS was near normal and the scale showed no ceiling effect in the study sample.

**Potential Moderators**

The *Sociodemographic Questionnaire* (SDQ) was completed by parents. Variables used to determine the social class of the family were income, and fathers’ and mothers’ education and occupation.

**Procedures**

We contacted 12 schools (public and private) located mostly in the center of the city of Murcia (Spain). Both private and public schools were included. Seven centers agreed to implement the program. We targeted an indicated sample of youth screened for scores above 10 on the Children’s De-
pression Inventory (CDI) using a cutoff score similar to that used in other depression prevention research (Gillham et al., 2006). We sent invitation letters to adolescents in the 1st and 2nd year of ESO. All youth for whom we obtained parental permission were screened. Parents were informed that the study was about emotional difficulties of adolescents in the Region of Murcia (Spain).

For the screening, passive consent was used (Chartier et al., 2008), which was almost complete (99%). Of the 1212 adolescents assessed during school hours, particularly in tutoring hours, 593 adolescents scored above 10 on the CDI (48.73%). We then informed the teens about the opportunity to participate in a program to promote the psychological resources of adolescents and personal growth for a fuller life. We provided a letter to teens that described the program and requested parental consent.

Parents were invited to attend an informational meeting in person, or when necessary, were given information by phone. In the meetings, we explained that the objective of the program was to enhance interpersonal and intrapersonal intelligence, as described by Howard Gardner (2005). We presented the program as being part of their children’s education. We invited parents to provide an assessment of their child, and 104 parents agreed to do so.

Youth participation rate was 8.8% \((n = 52)\), which is lower than other school-based depression prevention studies, which averaged around 15% (Gillham et al., 1995; Gillham et al., 2007). Given the low participation rate, we decided to offer the program to all adolescents 1st and 2nd of ESO of schools recruited during the first phase, and thereby move from an indicated to a more universal sample, similar to what was done by Gillham et al. (2007). Our final number of participants was 89 students (7.3%). Some reasons for the low participation rate are that other activities made it difficult to get program assistance outside school hours, parents had difficulty picking up their children to take them to evening schools, and a general lack of awareness about the importance of promoting psychological well-being.

During the first session, we explained the objectives of the group and highlighted the positive aspects of participating. We noted that we would have a May-June delivery of diplomas, awards, and a party for each group. Pretest assessments were conducted within the school hours dedicated to teaching the Smile Program (in the first two sessions). Intervention group members were told that those who attended a minimum of 11 sessions, including the four assessments and the ten sessions of the program, would be eligible for a prize at the end.

### Study design

A mixed factorial design \((2 \times 4)\) was used with a between subjects factor (condition: Smile Program intervention versus no intervention control) and a within subjects factor (time point: pre-intervention, post-intervention, 8 and 12 months follow-up).

Adolescents whose parents signed the consent form were randomly assigned to either the experimental intervention \((n = 51)\) or control condition \((n = 38)\). Random assignment was generated by application software GAUSS, a vector of random numbers that were uniform in the range of values from 0 to 1 model, so that values from 0 to 0.499 would be the experimental group, and values from 0.5 to 0.999 would be the experimental group. If the sample had been larger, it is likely that randomization would have balanced the groups with a more equal number of participants. A sample of 56 parents (39 in the experimental group and 17 in the control group) participated. Table 1 presents the assessment schedule.

<table>
<thead>
<tr>
<th>Table 1. Participant recruitment at each phase.</th>
<th>Adolescents</th>
<th>Parents</th>
</tr>
</thead>
<tbody>
<tr>
<td>Letters mailed</td>
<td>1,230</td>
<td>1,230</td>
</tr>
<tr>
<td>Phase 1. Screening</td>
<td>1,212</td>
<td>1212-104a</td>
</tr>
<tr>
<td>Phase 2. Program assignment</td>
<td>89</td>
<td>56</td>
</tr>
<tr>
<td>Total Participation rate (%)</td>
<td>7.3%</td>
<td>4.6%</td>
</tr>
<tr>
<td>Assigned to Smile Program</td>
<td>51</td>
<td>39</td>
</tr>
<tr>
<td>Assigned to Control Condition</td>
<td>38</td>
<td>17</td>
</tr>
<tr>
<td>Total participants</td>
<td>89</td>
<td>56</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Assessments Completed ((N)^b)</th>
<th>Adolescents</th>
<th>Parents</th>
<th>Adolescents</th>
<th>Parents</th>
<th>Adolescents</th>
<th>Parents</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total participants</td>
<td>51</td>
<td>39</td>
<td>38</td>
<td>17</td>
<td>89</td>
<td>56</td>
<td>66</td>
</tr>
<tr>
<td>Completed Pre-intervention</td>
<td>49</td>
<td>39</td>
<td>37</td>
<td>17</td>
<td>86</td>
<td>56</td>
<td>66</td>
</tr>
<tr>
<td>Completed Post-intervention</td>
<td>47</td>
<td>36</td>
<td>30</td>
<td>13</td>
<td>77</td>
<td>49</td>
<td>76</td>
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<td>Completed 4-month follow-up</td>
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<td>27</td>
<td>99</td>
<td>60</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Completed 8-month follow-up</td>
<td>46</td>
<td>20</td>
<td>30</td>
<td>3</td>
<td>76</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Completed 12-month follow-up</td>
<td>41</td>
<td>28</td>
<td>28</td>
<td>49</td>
<td>69</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Note: Adol = Adolescents; 1212 parents allowed their children to participate in the screening; 104 responded to questionnaires in this phase; a Due to the low rate of parent participation at follow-up, it was not possible to conduct analyses of some parent data.
Screening

<table>
<thead>
<tr>
<th>Assessment</th>
<th>Measures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adolescents:</td>
<td>Children’s Depression Inventory (CDI)</td>
</tr>
<tr>
<td>Parents:</td>
<td>Children’s Depression Inventory – Short form (CDI-S); Demographic Questionnaire (DQ)</td>
</tr>
</tbody>
</table>

Pre-intervention

| Adolescents:     | Self-Concept Scale Form 5 (AF-5)                                         |
| Post-intervention| Children’s Depression Inventory (CDI); Self-Concept Scale Form 5 (AF-5) |
| Parents:         | Children’s Depression Inventory – Short form (CDI-S); Demographic Questionnaire (DQ) |

Follow-ups at:

| 4 months         | Adolescents: Warwick-Edinburgh Mental Well-being Scale (WEMWBS)         |
| 8 months         | Adolescents: Children’s Depression Inventory (CDI); Self-Concept Scale Form 5 (AF-5); Warwick-Edinburgh Mental Well-being Scale (WEMWBS) |
| 12 months        | Adolescents: Children’s Depression Inventory (CDI); Self-Concept Scale Form 5 (AF-5); Warwick-Edinburgh Mental Well-being Scale (WEMWBS) |

Intervention

The Smile Program integrates personal development, and the promotion of psychological well-being with a clinical psychology approach aimed at relieving discomfort and preventing depression (Sánchez-Hernández & Méndez, 2009; Sánchez-Hernández et al., 2014). The program was implemented in groups of ten participants in eleven weekly, two-hour sessions. The two group leaders used a manual and the teens were provided with activity books. Each meeting began and ended with a review of the current session and a presentation of the proposed homework, respectively, although in the first session an overview of the program was provided and in the last session there was a party and distribution of diplomas.

Program modules were as follows:

1. Motivation and emotional education: decisional balance (pros and cons) of positive change; definitions, types and usefulness of emotions; connections between situations and emotions; and deactivation techniques (relaxation, breathing and visualization).
2. Behavioral Activation: pleasant activities; sensory training (savoring); rewarding activities based on personal strengths; training in alternative active behavioral coping versus avoidance and rumination.
3. Problem Solving: attitude towards problems; problem solving procedures; games; creativity.
4. Promoting optimism: conceptualization of optimism and pessimism; attributional training.
5. Social skills: identification of passive, assertive, and aggressive styles; training for expressing disagreement and/or dislike; reject/accept requests; defend personal rights; negotiating with parents.

6. Planning goals: setting clear, specific, and realistic personal goals; reflecting on areas of development or improvement.

The group leaders were Masters level clinical psychologists who received a 25-hour training program by the authors. To verify adherence to the program, sessions were video recorded and rated by experts in the Smile Program. The degree of agreement between judges was good (i.e., intraclass correlation coefficient = .93). The average intervention integrity was assessed for each workshop; scores ranged from 70% to 100% (mean = 94%, SD = 1.0), which is consistent with other depression prevention studies in youth (Gillham et al., 2007; Roberts et al., 2003) and indicates a high level of adherence to the program.

Statistical analysis

We conducted analyses of covariance using the baseline score from the pre-intervention evaluation as the covariate, which is consistent with the statistical analyses used in other studies of the prevention of depression (e.g., Horowitz et al., 2007; Seligman et al., 2007). We also calculated effect sizes, as recommended by Frías, Pascual, and García (2000). For analysis of results regarding the effect of the program, the index $d$ is more appropriate to compare the change that occurs from pre- to post-test (as well as follow-up evaluations at eight and twelve months) in youth in one condition versus the other (Morris, 2000; Morris & DeShon, 2002). Effect sizes were interpreted based on Cohen (1988). For scores equal or higher than 0.20, index $d$ was considered low; scores equal or higher than 0.50 were considered medium, and scores equal or higher than 0.80 were considered high.

Results

Baseline

Youths randomized to the intervention versus control condition did not differ significantly on any demographic variables except for fathers’ education ($\chi^2 = 12.90, p = 0.045$); fathers of adolescents in the experimental group were less educated than were fathers in the control group (effect size, $\phi = 0.51$).

Primary Outcome: Depressive Symptoms

Analysis of covariance indicated that at the post-intervention evaluation, youth in the intervention condition had significantly lower CDI total scores than youth in the control condition, controlling for baseline CDI scores (see Table 3 and Figure 1). The magnitude of the effect of these differences was low to medium. At the 8- or 12-month fol-
low-up evaluations, the groups were no longer significantly different on depressive symptoms.

On the Parent version of the Children’s Depression Inventory – Short form (PCDI-S), analyses of post-intervention scores, controlling for pre-intervention scores yielded a nonsignificant trend for youth in the intervention group to have lower PCDI-S total scores as compared to youth in the control group (see Table 3). The magnitude of the effect size of the differences was low to medium. A statistically significant group difference was found, however, on the PCDI-S negative self-esteem subscale, $F(1,53) = 4.7, p = .033, n = 55, d = .51$, indicating that parents reported that youth in the intervention group had significantly lower negative self-esteem at post-test than did youth in the control condition.

### Table 3. Descriptives (means and standard deviations) and ANCOVAs for children’s depressive symptoms on the Children’s Depression Inventory (CDI) at baseline (pre), post-intervention, and the 8- and 12-month follow-up evaluations.

<table>
<thead>
<tr>
<th>Variable</th>
<th>Control Group</th>
<th>Smile Program</th>
<th>$F$ (df)</th>
<th>$p$</th>
<th>Effect size</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDI</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pre-intervention</td>
<td>13.1 37 .2</td>
<td>14.1 49 .8</td>
<td>4.0(1.84)</td>
<td>.048</td>
<td>.39</td>
</tr>
<tr>
<td>Post-intervention</td>
<td>12.9 37 .1</td>
<td>11.1 49 .0</td>
<td>1.3(1.82)</td>
<td>.25</td>
<td>.15</td>
</tr>
<tr>
<td>8-month follow-up</td>
<td>11.6 35 .1</td>
<td>11.0 49 .9</td>
<td>0.0(1.80)</td>
<td>.95</td>
<td>-.04</td>
</tr>
<tr>
<td>12-month follow-up</td>
<td>11.4 33 .6</td>
<td>12.1 49 .9</td>
<td>3.4(1.54)</td>
<td>.07</td>
<td>.34</td>
</tr>
</tbody>
</table>

Note: Results of the total scores of the measures are presented. All ANCOVAs included the pre-intervention scores as a covariate in the analyses. $SD = $ standard deviation; $df = $ degrees of freedom; CDI = Children’s Depression Inventory; PCDI-S = Parent version of the Children’s Depression Inventory – Short form.

### Secondary Outcomes

**Self-Concept (AF-5).** The ANCOVA revealed a statistically significant difference in the dimension of family self-concept at the 8-month follow-up, controlling for baseline family self-concept, $F(73) = 9.67, p = .003, n = 75, d = .56$, such that youth in the intervention group had significantly better family self-concepts at the 8-month evaluation than did youth in the control group. The magnitude of the effect size was medium. No statistically significant differences were found on the other self-concept dimensions or the total score (see Table 4).

**Warwick-Edinburgh Mental Well-being Scale (WEMWBS).** Youth in the intervention group reported significantly greater psychological well-being than those in the control group at the four-month evaluation (see Table 4), even when controlling for levels of depressive symptoms at baseline. The magnitude of the effect size was medium. No statistically significant group differences were found on the WEMWBS at the 8-month (effect size: $d = .22$) or 12-month evaluations (effect size: $d = .09$).

### Table 4. Descriptives (means and standard deviations) and ANCOVAs for measures of self-concept (AF-5) and well-being (WEMWBS)

<table>
<thead>
<tr>
<th>Variable</th>
<th>Control Group</th>
<th>Smile Program</th>
<th>$F$ (df)</th>
<th>$p$</th>
<th>Effect size</th>
</tr>
</thead>
<tbody>
<tr>
<td>AF-5 Self-concept Scale</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pre-intervention</td>
<td>31.4 33 6.6</td>
<td>30.3 47 6.7</td>
<td>0.2 (1.78)</td>
<td>.66</td>
<td>.01</td>
</tr>
<tr>
<td>Post-intervention</td>
<td>33.0 33 6.9</td>
<td>32.4 47 6.0</td>
<td>.8 (1.74)</td>
<td>.36</td>
<td>.27</td>
</tr>
<tr>
<td>at 8months</td>
<td>32.1 29 6.8</td>
<td>33.0 47 6.3</td>
<td>1.6 (1.74)</td>
<td>.21</td>
<td>-.14</td>
</tr>
<tr>
<td>at 12months</td>
<td>33.7 29 6.7</td>
<td>32.1 47 6.3</td>
<td>1.6 (1.74)</td>
<td>.21</td>
<td>-.14</td>
</tr>
</tbody>
</table>

**Well-being (WEMWBS)**

| at 4months | 50.1 27 9.6 | 55.2 33 9.6 | $t = -2.1 (58)$ | .043 | .53         |
| at 8months | 52.0 34 9.3 | 53.4 47 9.3 | $t = -1.0 (79)$ | .33  | .22         |
| at 12months | 50.6 31 9.3 | 50.5 47 9.3 | $t = 0.5 (76)$ | .96  | -.01        |

Note: The results of the total scores of the measures are presented. AF-5 = Self-Concept Scale Form 5; WEMWBS = Warwick-Edinburgh Mental Well-being Scale.
Discussion and Conclusions

The main objective of this randomized controlled trial was to evaluate the effectiveness of the Smile Program in decreasing or preventing increases in depressive symptoms and promoting well-being in youth. Assessments were conducted at pre- and post-intervention and at 8- and 12-month follow-ups.

Primary Outcome: Reduction of Depressive Symptoms

Overall, the Smile Program had a significant short-term effect on children’s depressive symptoms. Youth in the intervention condition reported significantly lower levels of depressive symptoms at the post-intervention assessment as compared to youth in the control condition, controlling for baseline CDI scores. These short-term positive results are consistent with other studies of depression prevention programs with universal samples (e.g., Horowitz et al., 2007, Merry et al., 2004; Spence, Sheffield, & Donovan, 2003, 2005). In general, the average effect size of universal interventions have been found to be low (Horowitz & Garber, 2006; Stice et al., 2009), with only 22% of universal prevention studies reporting statistically significant effects (Chaplin et al., 2006; Horowitz et al., 2007; Merry et al., 2004). As a consequence, some authors have questioned the utility of conducting preventive interventions with universal samples (Spence & Short, 2007). In the current study, the Smile Program produced a medium-low effect size with respect to depressive symptoms at post-intervention, which thus provides further evidence of the short-term efficacy of a depression prevention program in a universal sample.

A similar, although nonsignificant, pattern of results was found for parents’ reports of their children’s depressive symptoms. Youth in the intervention group tended to have lower parent-reported depressive symptoms at post-intervention than youth in the control group. The lack of significance may have been due to low statistical power given the small number of parent reports (n = 56). A statistically significant difference was found, however, on parents’ report on the CDI negative self-esteem subscale, indicating that at the post-intervention evaluation, youth in the intervention group had significantly better self-esteem as compared to those in the control group.

With regard to longer-term effects, no statistically significant differences were found at either the 8- or 12-month assessments of depressive symptoms. Failure to maintain the short-term benefits of preventive interventions has been found in several studies with universal samples (Horowitz et al., 2007; Merry et al., 2004; Spence, Sheffield, & Donovan, 2003, 2005). Thus, although the Smile Program had short-term effects on lowering depressive symptoms, it might not have been powerful enough to sustain these benefits. Therefore, some kind of continuation or booster sessions might be needed to maintain and extend the earlier positive effects (e.g., Beardslee et al., 2013).

Secondary Outcomes

Although self-concept typically has not been assessed as an outcome in studies of the prevention of depression, given its strong association with depression, we examined the program’s effect on this variable. No significant difference was found on overall self-concept at post-intervention, controlling for pre-intervention scores; at the 8-month follow-up, however, youth in the intervention group reported significantly better family self-concept than did youth in the control group. The magnitude of the effect size was medium. At 4 months post-intervention, youth in the Smile Program reported significantly greater psychological well-being on the Warwick-Edinburgh Mental Well-being Scale as compared to those in the control group, even when youths’ level of baseline depressive symptoms was controlled. The magnitude of the effect size was medium. Thus, the measure of well-being seemed to assess something over and above depression. No statistically significant group differences on psychological well-being were found at the 8- or 12-month follow-ups, although the average level of psychological well-being in the intervention group remained higher than in the control group. Methodological strengths of the study included the comparison of an experimental intervention group with a control group, random assignment of participants across multiple centers, evaluation of positive variables, and follow-up assessments at 8 and 12 months. Limitations of the study provide directions for future research. First, the comparison group did not control for nonspecific factors. Future randomized controlled trials should contrast the Smile Program with a nonspecific control group in addition to a no intervention group (e.g., Pössel, Martin, Garber & Hatzinger, 2013; Stice et al., 2010). Nevertheless, the current study was an important first step in testing whether the Smile Program worked at all over and above assessment only. Second, the relatively low participation rate limits the extent to which the findings can be generalized to the broader population. Also, the sample size was small, which reduced power to detect significant effects. Several nonsignificant trends were noted that should be interpreted with caution, but nevertheless highlight variables to be evaluated in the future with larger samples.

Third, although the study aimed to test the program using an indicated sample, the low participation rate necessitated offering the intervention more universally. Given that depression prevention programs provided to universal samples have not done as well as targeted samples (Horowitz & Garber, 2006, Stice et al., 2009), future tests of the Smile Program should be conducted with selective or indicated samples. Finally, future studies of the Smile Program should measure the skills taught in the intervention (Horowitz et al., 2007; Pattison & Lynd-Stevenson, 2001), conduct appropriate assessments of fidelity and competence of those providing the program (Gilham, Brunwasser, & Freres, 2008), implement booster sessions and longer follow-up assessments (Comps et al., 2004; Garber et al., 2009; Gladstone, 2009; Shore, 2019; vol. 35, nº 2 (may)
Toubourou, Lewis & Kremer, 2017; Stice et al., 2009), test new technologies such as the internet for implementing prevention programs (Calcar & Christensen, 2010), and evaluate the cost-effectiveness of the intervention. In summary, there is a clear need to invest in interventions to prevent depression in young people in general, and particularly in Spain (Sanchez-Hernandez et al., 2014), given the increasing evidence of positive effects on personal and social development as a result of such interventions (Merry et al., 2011).

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